## M. Garden

# Unions and Intersections of Sets



**MATH**Garden

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#### 1 Introduction

The present unit is part of the walk The Axioms of Zermelo and Fraenkel.

The most prominent system of axioms for mathematics is the axiomatics of Zermelo and Fraenkel abbreviated by ZFC where the letter C stands for the axiom of choice. It consists of the following axioms:

ZFC-0:	Basic Axiom
ZFC-1:	Axiom of Extension
ZFC-2:	Axiom of Existence
ZFC-3:	Axiom of Specification
ZFC-4:	Axiom of Pairing
ZFC-5:	Axiom of Unions
ZFC-6:	Axiom of Powers
ZFC-7:	Axiom of Foundation
ZFC-8:	Axiom of Substitution
ZFC-9:	Axiom of Choice
ZFC-10:	Axiom of Infinity

Axioms ZFC-0 to ZFC-3 are explained in the unit UNIVERSE [Garden 2020a]. Axioms ZFC-4 to ZFC-7 will be explained in the present unit. Axioms ZFC-8 and ZFC-9 are explained in the unit FAMILIES [Garden 2020b]. Finally, Axiom ZFC-10 is explained in the unit SUCCESSOR SETS [Garden 2020c].

Axioms ZFC-0 to ZFC-3 are as follows:

1.1 Axiom. (ZFC-0: Basic Axiom) (a) A mathematical universe  $\mathcal{U}$  consists of sets.

(b) There is the following relation between the sets of the universe U: For any two sets A and B of the universe U, either the set A is an element of the set B or the set A is no element of the set B.

1.2 Definition. Let A and B be two sets.

(a) The set A is called a subset of the set B if every element of the set A is also an element of the set B. If the set A is a subset of the set B, we write  $A \subseteq B$ .

(b) If the set A is a subset of the set B and if the set B contains an element b not contained in the set A, then the set A is called a **proper subset** of the set B. In this case we write  $A \subset B$ .

1.3 Axiom. (ZFC-1: Axiom of Extension) Two sets A and B are equal if and only if the set A is a subset of the set B and if the set B is a subset of the set A.

1.4 Axiom. (ZFC-2: Axiom of Existence) There exists an empty set.

1.5 Axiom. (ZFC-3: Axiom of Specification) Let A be a set, and let  $\varphi = \varphi(x)$  be a sentence<sup>a</sup> containing the free variable x (and possibly more variables).

Then there exists a subset B of the set A consisting of all elements x of the set A such that the sentence  $\varphi = \varphi(x)$  is true. The set B is denoted by

 $B := \{ x \in A \mid \varphi(x) \}.$ 

<sup>a</sup>For the definition of a sentence see Unit UNIVERSE [Garden 2020a]

The above mentioned axioms and the subsets are explained in detail in the unit UNIVERSE [Garden 2020a]. Some elementary properties of subsets are as follows:

**Proof.** See Unit UNIVERSE [Garden 2020a].

In this unit we will explain the following basic methods of constructing new sets from given sets: the pairing of sets, the union of sets, the intersection of sets, the complement of a set and the power set of a set. For some of these constructions we need specific axioms (Axioms ZFC-4, ZFC-5 and ZFC-6) guaranteeing the existence of these sets.

#### The Axiom of Pairing (see Section 2):

Let  $\mathcal{U} = [A]$  be the universe consisting of one set A such that  $A \notin A$ . Obviously, the universe  $\mathcal{U}$  fulfills the basic axiom 1.1 (it consists of sets, namely the set A, and we have defined  $A \notin A$ ). Since the universe  $\mathcal{U}$  consists of only one set, it fulfills the axiom of extension (Axiom 1.3). Since the set A does not contain any element, the set A is the empty set, hence the universe  $\mathcal{U}$  fulfills the axiom of existence (Axiom 1.4).

The set A has only one subset, namely the set  $A = \emptyset$ . Hence, we have

$$\{x \in A \mid \phi(x)\} = \emptyset = A$$

for all sentences  $\varphi$  implying that the universe  $\mathcal{U}$  fulfills the axiom of specification (Axiom 1.5). Finally, the axiom of foundation (Axiom 7.4) is a requirement about non-empty sets. Since the universe  $\mathcal{U}$  does not contain non-empty sets, the axiom is obviously fulfilled.

In other words the universe  $\mathcal{U} = [A]$  fulfills all axioms we have introduced so far. So it is obvious that we need some more axioms in order to be able to construct more sets than just

the empty set. The first important axiom to construct further sets is the axiom of pairing (Axiom 2.1): Given two sets A and B it guarantees the existence of the sets  $\{A\}$  and  $\{A, B\}$ . For  $A := \emptyset$  we obtain the new set  $B := \{A\} = \{\emptyset\}$  and the new set

$$\mathbf{C} := \{\mathbf{A}, \mathbf{B}\} = \{\emptyset, \{\emptyset\}\}.$$

In addition, we will use the axiom of pairing and the axiom of foundation to exclude constellations like

 $A \in A$  or  $(A \in B \text{ and } B \in A)$  (Theorem 7.5).

#### Unions of Sets (see Section 3):

Suppose that we have the two sets  $A = \{a, b, c\}$  and  $B = \{b, c, d\}$ . We want to be able to construct the set C containing all elements of the set A and the set B, in other words, we want to construct the set

$$C := A \cup B = \{a, b, c, b, c, d\} = \{a, b, c, d\}.$$

To do so we need a further axiom, namely the axiom of unions (Axiom 3.1). It guarantees the existence of the union of arbitrary many sets and not only the union of two sets. More formally, given a set C of sets, there exists a set U, denoted by

$$\mathsf{U} \coloneqq \bigcup_{\mathsf{C} \in \mathfrak{C}} \mathsf{C}$$

with the property that

$$x \in U$$
 if and only if  $\exists C \in \mathcal{C} : x \in C$ .

Let A and B be two sets. Note that we require the existence of the set  $\{A, B\}$  in order to build the union

$$A \cup B = \bigcup_{X \in \{A,B\}} X.$$

The axiom of pairing provides the existence of the set  $\{A, B\}$  for arbitrary sets A and B.

For more details see Definition 3.2.

Above, we have deduced from the axiom of pairing the existence of the sets

$$0 := \emptyset, 1 := \{\emptyset\} = \{0\}, \text{ and } 2 := \{\emptyset, \{\emptyset\}\} = \{0, 1\}.$$

By the axiom of pairing (Axiom 2.1), the set  $\{2\}$  exists. Set

$$3 := 2 \cup \{2\} = \{0, 1, 2\}.$$

By continuing this process, we will be able to define the natural numbers as specific sets, and we will obtain the recursive formula

$$n + 1 := \{0, 1, 2, \dots, n\}.$$

Hence, the axiom of pairing and the axiom of unions are sufficient to construct all natural numbers. However, for the construction of the *set*  $\mathbb{N}_0$  of all natural numbers we will need the additional axiom of infinity. The whole process is explained in the unit NATURAL NUMBERS [Garden 2020d].

#### Intersection of Sets (see Section 4):

The union  $A \cup B$  of two sets A and B is characterized by the assertions  $x \in A$  or  $x \in B$ . The intersection  $A \cap B$  of two sets A and B is characterized by the assertions  $x \in A$  and  $x \in B$ . More generally, given a non-empty set C of sets, the intersection

$$\mathsf{D} := \bigcap_{\mathsf{C} \in \mathfrak{C}} \mathsf{C}$$

is defined by the property that

$$x \in D$$
 if and only if  $x \in C$  for all  $C \in C$ .

See Definition 4.2. The existence of the intersection of sets has not to be postulated by a specific axiom (as the existence of the union of sets), but can be deduced from the axiom of specification (see Proposition 4.1).

#### The Complement of a Set (see Section 5):

The set  $S := \{x \in \mathbb{Z} \mid x \text{ is a square}\}$  consists of all integers which are squares. Often, one is interested in the "opposite" set, in our example, the set of all integers which are no squares. In other words, we are interested in the set of the elements of the set  $\mathbb{Z}$  which are not contained in the set S. The corresponding definition is the complement C of a set B in a set A defined as follows:

$$C := \{x \in A \mid x \notin B\} \text{ (Definition 5.1)}.$$

The set C is denoted by  $C = A \setminus B$  or by  $B^c$  if no confusion about the set A may arise.

A main result about complements is the interplay between complements, intersections and unions of sets expressed by de Morgan's laws (Theorem 5.5). They state

$$(X \cup Y)^c = X^c \cap Y^c$$
 and  $(X \cap Y)^c = X^c \cup Y^c$ 

for all subsets X and Y of a set A where  $X^c$  and  $Y^c$  denote the complements of the sets X and Y in the set A, respectively.

#### The Power Set of a Set (see Section 6):

Consider the set  $A := \{1, 2\}$ . It has the four subsets

$$\emptyset$$
, {1}, {2} and A = {1, 2}.

The axiom of powers (Axiom 6.1) guarantees the existence of the set  $\{\emptyset, \{1\}, \{2\}, \{1,2\}\}$  of all subsets of the set A. More generally, given a set A, the axiom of powers guarantees the existence of the set  $\mathcal{P}(A)$  of all subsets of the set A. The set  $\mathcal{P}(A)$  is called the power set of the set A (Definition 6.2).

#### The axiom of foundation (see Section 7):

The axiom of foundation (Axiom 7.4) excludes some strange constellations like

$$A \in A$$
 or  $(A \in B$  and  $B \in A)$ .

#### **Historical Note:**

The axioms of Zermelo and Fraenkel have been published by E. Zermelo in the two papers [Zermelo 1908] and [Zermelo 1930]. For more details see Unit UNIVERSE [Garden 2020a].

#### 2 The Axiom of Pairing

#### The Axiom of Pairing:

Suppose that our universe contains two sets A and B. Then we want to guarantee that the universe also contains the sets  $\{A\}$  and  $\{A, B\}$ . This is the content of the axiom of pairing:

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2.1 Axiom. (ZFC-4: Axiom of Pairing) Let A and B be two sets.
(a) There exists the set C := {A}.
(b) There exists the set D := {A, B}.
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French / German. Axiom of Pairing = Axiome de la paire = Paarmengenaxiom.

**2.2 Remark.** Of course the question arises what about the existence of the set  $\{A, B, C\}$  for three given sets A, B and C? More generally, what about the sets

$$\{A_1,\ldots,A_n\}$$
 or  $\{A_i \mid i \in I\}$ 

for n given sets  $A_1, \ldots, A_n$  or for a given family  $(A_i)_{i \in I}$  of sets? In fact, all these sets exist: Given three sets A, B and C the axiom of pairing (Axiom 2.1) provides the sets  $\{A, B\}$  and  $\{B, C\}$ . Theorem 3.3 provides the existence of the set

$$\{A, B\} \cup \{B, C\} = \{A, B, C\}.$$

The existence of the set  $\{A_1, \ldots, A_n\}$  follows by a simple induction argument. Natural numbers and the principle of induction are explained in the unit NATURAL NUMBERS [Garden 2020d]. For the existence of the set  $\{A_i \mid i \in I\}$  we first have to define what a family  $(A_i)_{i \in I}$  of sets is. This is done in the unit FAMILIES [Garden 2020b]. Then the existence of the set  $\{A_i \mid i \in I\}$  will follow from the axiom of substitution (ZFC-8) which is also explained in the unit FAMILIES [Garden 2020b].

In Theorem 3.3 we will use the axiom of pairing and the axiom of unions to prove that for two sets A and B the union

$$A \cup B := \{x \mid x \in A \text{ or } x \in B\}$$

exists.

In Theorem 7.5 we will use the axiom of pairing and the axiom of foundation to exclude some strange constellations like  $A \in A$ .

#### Historical Note:

The axiom of pairing has been introduced by Zermelo in his first article about the axiomatization of mathematics: Axiom II. (Axiom der Elementarmengen) ... Ist a irgend ein Ding des Bereichs, so existiert eine Menge  $\{a\}$ , welche a und nur a als Element enthält; sind a, b zwei Dinge des Bereiches, so existiert immer eine Menge  $\{a, b\}$ , welche sowohl a als b, aber kein von beiden verschiedenes Ding x als Element enthält.

See [Zermelo 1908, p. 263].

Axiom II. (Axiom of elementary sets) ... If a is any object of the domain, there exists a set  $\{a\}$  containing a and only a as element; if a and b are any two objects of the domain, there alway exists a set  $\{a, b\}$  containing as elements a and b but no object x distinct from both.

See [Zermelo 1967, p. 202].

The word domain stands for set.

Zermelo had introduced the axiom of foundation in his second article about the axiomatization of mathematics based on previous work of Abraham Fraenkel and John von Neumann. For details see Unit UNIVERSE [Garden 2020a]. In this article he also mentions the contents of Theorem 7.5:

Dieses letzte Axiom [Axiom der Fundierung], durch welches alle zirkelhaften namentlich auch alle sich selbst enthaltenden, überhaupt alle wurzellosen Mengen ausgeschlossen werden, war bei allen praktischen Anwendungen der Mengenlehre bisher immer erfüllt, bringt also vorläufig keine wesentliche Einschränkung der Theorie.

See [Zermelo 1930, p. 31].

This last axiom [axiom of foundation], by which all circular sets, in particular all sets containing themselves, and all sets without roots are excluded, has been fulfilled so far in all practical applications of set theory. Thus it does not result in a substantial restriction of the theory for now.

(Translation by the author.)

#### 3 Unions of Sets

#### The Axiom of Unions:

**3.1** Axiom. (ZFC-5: Axiom of Unions) Let C be a set. Then there exists a set U consisting of the elements x that are contained in at least one element of the set C, that is,

 $x \in U$  if and only if there exists an element C of the set C such that  $x \in C$ .

French / German. Axiom of unions = Axiome de la Réunion = Vereinigungsaxiom.

#### Definition of the Union:

**3.2 Definition.** (a) Let C be a set, and let U be the set consisting of the elements x that are contained in at least one element of the set C. The set U is called the union of the set C.

The set U is denoted by

$$:= \bigcup_{C \in \mathcal{C}} C$$
 or, equivalently, by  $U := \bigcup \{C \mid C \in C\}$ 

(b) If the set  $\mathcal{C} = \{A, B\}$  consists of two sets A and B, then we write  $U := A \cup B$ .

U

French / German. Union = Réunion = Vereinigungsmenge.

Note that it follows from the axiom of unions (Axiom 3.1) that the set  $U := \bigcup_{C \in \mathcal{C}} C$  exists for all sets  $\mathcal{C}$ .

#### **Elementary Properties of the Union of Sets:**

**3.3 Theorem.** Let A and B be two sets. Then the union  $A \cup B$  exists.

**Proof.** Note that this theorem does not follow directly from the axiom of unions. The axiom of unions can only be applied on a set  $\mathcal{C}$ . If the set  $\mathcal{C} = \{A, B\}$  exists, then the axiom of union guarantees that the set  $U := A \cup B$  exists and is a set. So we first have to apply the axiom of pairing (Axiom 2.1) in order to obtain the set  $\mathcal{C} := \{A, B\}$ . Then we may conclude by the axiom of unions that the set

$$\mathsf{A} \cup \mathsf{B} = \bigcup_{\mathsf{C} \in \mathfrak{C}} \mathsf{C}$$

exists.

**3.4 Example.** Suppose that the sets  $A := \{a, b\}$  and  $B := \{b, c\}$  exist. Then we have

 $A \cup B = \{a, b, c\}.$ 

**3.5 Proposition.** Let  $\mathcal{C} = \emptyset$ . Then we have  $\bigcup_{C \in \mathcal{C}} C = \emptyset$ .

**Proof.** Assume that  $\bigcup_{C \in \mathcal{C}} C \neq \emptyset$ . Then there exists an element x of the set  $\bigcup_{C \in \mathcal{C}} C$ . It follows that there exists an element C of the set  $\mathcal{C}$  containing the element x, in contradiction to the assumption that  $\mathcal{C} = \emptyset$ .

**3.6** Proposition. Let A, B and C be three sets.

(a) We have  $A \subseteq A \cup B$  and  $B \subseteq A \cup B$ .

- (b) We have  $A = \{x \in A \cup B \mid x \in A\}$  and  $B = \{x \in A \cup B \mid x \in B\}$ .
- (c) We have  $A \cup \emptyset = A$  and  $A \cup A = A$ .
- (d) We have  $A \cup B = B \cup A$  (commutativity).
- (e) We have  $(A \cup B) \cup C = A \cup (B \cup C)$  (associativity).
- (f) We have  $A \subseteq B$  if and only if  $A \cup B = B$ .

€}.

 $A \cup B$ 

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**Proof.** (a) follows from the definition of a subset (Definition 1.2).

(b) to (e) follow directly from Axiom 1.3 and the definition of the union.

(f) Step 1.  $\Rightarrow$ : Suppose that the set A is a subset of the set B. In view of (a), we have to show that the set  $A \cup B$  is a subset of the set B:

For, let x be an element of the set  $A \cup B$ . If the element x is contained in the set A, then it follows from  $A \subseteq B$  that the element x is contained in the set B. If the element x is contained in the set B, then, obviously, the element x is contained in the set B.

Step 2.  $\Leftarrow$ : Suppose that we have  $A \cup B = B$ . By (a), we have  $A \subseteq A \cup B$ . By Proposition 1.6, it follows from  $A \subseteq A \cup B$  and  $A \cup B \subseteq B$  that  $A \subseteq B$ .

3.7 Examples. (a) If  $\{a\}$  and  $\{b\}$  are two sets, then we have  $\{a\} \cup \{b\} = \{a, b\}$ .

(b) If  $\{a\}$ ,  $\{b\}$  and  $\{c\}$  are three sets, then we have  $\{a\} \cup \{b\} \cup \{c\} = \{a, b, c\}$ .

#### **Historical Note:**

The axiom of unions has been introduced by Zermelo in his first article about the axiomatization of mathematics:

Axiom V. (Axiom der Vereinigung) Jeder Menge T entspricht eine Menge  $\mathfrak{ST}$  (die Vereinigungsmenge von T), welche alle Elemente der Elemente von T und nur solche als Elemente enthält.

See [Zermelo 1908, p. 265].

Axiom V. (Axiom of the union) To every set T there corresponds a set  $\mathfrak{ST}$ , the union of T, that contains as elements precisely all elements of the elements of T.

See [Zermelo 1967, p. 203].

The letter  $\mathfrak{S}$  stands for Summe (sum). So we have

$$\mathfrak{ST} = \bigcup_{X \in \mathsf{T}} X.$$

As for many other axioms the axiom of unions can already be found in the article about natural numbers by Richard Dedekind:

8. Erklärung. Unter dem aus irgendwelchen Systemen A, B, C, ... zusammengesetzten System, welches mit  $\mathfrak{M}(A, B, C, ...)$  bezeichnet werden soll, wird dasjenige System verstanden, dessen Elemente durch folgende Vorschrift bestimmt werden: ein Ding gilt dann und nur dann als Element von  $\mathfrak{M}(A, B, C, ...)$ , wenn es Element von irgendeinem der Systeme A, B, C, ..., d.h. Element von A oder B oder C ... ist. [...]

See [Dedekind 1888] or [Dedekind 1932, p. 346].

8. Explanation. We understand by a system aggregated by arbitrary systems A, B, C, ...and denoted by  $\mathfrak{M}(A, B, C, ...)$  the system whose elements are defined by the following rule: a thing is considered as an element of  $\mathfrak{M}(A, B, C, ...)$  if and only if it is an element of one of the systems A, B, C, ..., that is, if it is an element of A or B or C ... . [...]

(Translation by the author.)

Dedekind uses the word system for sets. A thing stands for an element of a set. Note that the definitions of a union by Zermelo and by Dedekind differ in an important point: Zermelo requires that the sets  $A, B, C, \ldots$  are contained in a common set T, whereas Dedekind allows the union

 $A \cup B \cup C \cup \ldots$ 

without any restrictions. In fact, Dedekind does not really explain what is meant by "...". The definition of Zermelo is more precise.

#### 4 Intersections of Sets

#### **Definition of the Intersection:**

**4.1 Proposition.** Let  $\emptyset \neq \mathbb{C}$  be a non-empty set. Then there exists a set D consisting of the elements x that are contained in all elements of the set  $\mathbb{C}$ , that is,

$$x \in D$$
 if and only if  $x \in C$  for all  $C \in C$ .

**Proof.** Since  $C \neq \emptyset$ , there exists an element A of the set C. By the Axiom of Specification (Axiom 1.5), the following set D exists:

$$D := \{x \in A \mid x \in C \text{ for all } C \in \mathcal{C}\}.$$

4.2 Definition. (a) Let Ø ≠ C be a non-empty set. The set D consisting of the elements x that are contained in all elements of the set C is called the intersection of the set C.
(b) The set D is denoted by

$$D := \bigcap_{C \in \mathcal{C}} C$$
 or, equivalently, by  $D := \bigcap \{C \mid C \in \mathcal{C}\}.$ 

 $A \cap B$ 

В

(c) If the set  ${\mathfrak C}=\{A,B\}$  consists of two sets A and B, then we write  $D:=A\cap B.$ 

French / German. Intersection = Intersection = Durchschnitt.

**4.3 Remark.** In opposite to the union of sets, the empty intersection  $(\cap_{C \in \mathcal{C}} C \text{ with } \mathcal{C} = \emptyset)$  is not defined.

4.4 Example. Let  $A := \{a, b\}$  and  $B := \{b, c\}$ . If  $a \neq c$ , we have  $A \cap B = \{b\}$ . If a = c, we have  $A \cap B = \{a, b\} = \{b, c\}$ .

Elementary Properties of the Intersection of Sets:

4.5 Proposition. Let A, B and C be three sets.

(a) We have  $A \cap B \subseteq A$  and  $A \cap B \subseteq B$ .

- (b) We have  $A \cap B = \{x \in A \mid x \in B\} = \{x \in B \mid x \in A\}.$
- (c) We have  $A \cap \emptyset = \emptyset$  and  $A \cap A = A$ .
- (d) We have  $A \cap B = B \cap A$  (commutativity).
- (e) We have  $(A \cap B) \cap C = A \cap (B \cap C)$  (associativity).
- (f) We have  $A \subseteq B$  if and only if  $A \cap B = A$ .

**Proof.** (a) follows from the definition of a subset (Definition 1.2).

(b) to (e) follow directly from Axiom 1.3 and the definition of the intersection.

(f) Step 1.  $\Rightarrow$ : Suppose that the set A is a subset of the set B. In view of (a), we have to show that the set A is a subset of the set  $A \cap B$ :

For, let x be an element of the set A. It follows from  $A \subseteq B$  that the element x is also contained on the set B. Hence, the element x is contained in the set  $A \cap B$  implying that  $A \subseteq A \cap B$ .

Step 2.  $\Leftarrow$ : Suppose that we have  $A \cap B = A$ . By (a), we have  $A \cap B \subseteq B$ . By Proposition 1.6, it follows from  $A \subseteq A \cap B$  and  $A \cap B \subseteq B$  that  $A \subseteq B$ .

**4.6 Proposition.** Let  $\emptyset \neq \mathbb{C}$  be a non-empty set, and let A be an element of the set  $\mathbb{C}$ .

- (a) We have  $\bigcap \{X \mid X \in \mathcal{C}\} \subseteq A$ .
- (b) We have  $A \subseteq \bigcup \{X \mid X \in \mathcal{C}\}.$

**Proof.** The proof is obvious.

The following proposition shows that the *union* of sets corresponds to the logical *or* and that the *intersection* of sets corresponds to the logical *and*.

**4.7 Proposition.** Let A and B be two sets, and let  $\emptyset \neq \mathbb{C}$  be a non-empty set.

(a) We have  $x \in A$  and  $x \in B$  if and only if  $x \in A \cap B$ .

(b) We have  $x \in A$  or  $x \in B$  if and only if  $x \in A \cup B$ .

(c) We have  $x \in C$  for all elements C of the set C if and only if

 $x \in \bigcap \{C \mid C \in \mathcal{C}\}.$ 

(d) There exists an element C of the set C containing an element x if and only if

 $x \in \bigcup \{C \mid C \in \mathcal{C}\}.$ 

**Proof.** The proof follows directly from the definition of the union and the intersection of sets.  $\Box$ 

**4.8 Proposition.** Let A, X and Y be three sets, and let  $\emptyset \neq \mathbb{C}$  be a non-empty set.

(a) If  $A \subseteq X$  and  $A \subseteq Y$ , then we have  $A \subseteq X \cap Y$ .

- (b) If  $X \subseteq A$  and  $Y \subseteq A$ , then we have  $X \cup Y \subseteq A$ .
- (c) If  $A \subseteq X$  for all elements X of  $\mathcal{C}$ , then we have  $A \subseteq \bigcap_{C \in \mathcal{C}} C$ .

(d) If  $X \subseteq A$  for all elements X of  $\mathcal{C}$ , then we have  $\bigcup_{C \in \mathcal{C}} C \subseteq A$ .

**Proof.** The proof follows directly from the definition of the union and the intersection of sets.  $\Box$ 

#### Intersections and Unions of Sets:

4.9 Proposition.	Let A, B and C be three sets.
(a) We have	
	$(A \cup B) \cap C = (A \cap C) \cup (B \cap C)$
(b) We have	
	$(A \cap B) \cup C = (A \cup C) \cap (B \cup C)$

**Proof.** (a) The proof is obvious.

(b) It follows from (a) that

$$(A \cup C) \cap (B \cup C) = (A \cap (B \cup C) \cup (C \cap (B \cup C)))$$
$$= ((A \cap B) \cup (A \cap C)) \cup C$$
$$= (A \cap B) \cup ((A \cap C) \cup C) = (A \cap B) \cup C.$$

#### **Definition of Disjoint Sets:**

4.10 Definition. (a) Two sets A and B are called disjoint if their intersection is empty, that is, if we have  $A \cap B = \emptyset$ .

(b) If A and B are two disjoint sets, then we denote their union  $U := A \cup B$  by  $U = A \cup B$ .

French / German. Disjoint = Disjoint = Disjunkt.

4.11 Example. Let  $A := \{a, b, c\}$  be a set such that the elements a, b and c are pairwise distinct. Then we have

 $A = A \cup \emptyset = \{a, b\} \cup \{c\} = \{a, c\} \cup \{b\} = \{b, c\} \cup \{a\} = \{a\} \cup \{b\} \cup \{c\}.$ 

#### Historical Note:

The intersection of sets is - as the union of sets - already defined in the fundamental articles of Zermelo and Dedekind:

8. Sind M, N irgend zwei Mengen, so bilden nach III [axiom of extension] diejenigen Elemente von M, welche gleichzeitig Elemente von N sind, die Elemente einer Untermenge D von M, welche auch Untermenge von N ist und alle M und N gemeinsamen Elemente umfaßt. Diese Menge D wird der gemeinsame Bestandteil oder der Durchschnitt der Mengen M und N genannt und mit [M, N] bezeichnet. [...] 9. Ebenso existiert auch für mehrere Mengen M, N, R, ... immer ein Durchschnitt D = [M, N, R, ...]. [...]

See [Zermelo 1908, p. 264].

8. If M and N are any two sets, then according to Axiom III [axiom of extension] all those elements of M that are also elements of N are the elements of a subset D of M; D is also a subset of N and contains all elements common to M and N. This set D is called the common component, or intersection, of the sets M and N and is denoted by [M, N]. [...]

9. Likewise, for several sets M, N, R, ... there always exists an intersection D = [M, N, R, ...]. [...]

See [Zermelo 1967, p. 202].

The above propositions are also contained in [Zermelo 1908], and Zermelo refers to Ernst Schröder's *Vorlesungen über die Algebra der Logik* [Schröder 1890] for a detailed discussion of logical addition and multiplication.

The intersections of sets and their elementary properties are already contained in Dedekind's introduction of the natural numbers:

17. Erklärung. Ein Ding g heißt gemeinsames Element der Systeme A, B, C, ..., wenn es in jedem dieser Systeme (also in A und in B und in C, ...) enthalten ist [...], und unter der Gemeinheit der Systeme A, B, C, ... verstehen wir das vollständig bestimmte System  $\mathfrak{S}(A, B, C, ...)$ , welches aus allen gemeinsamen Elementen g von A, B, C, ... besteht [...].

See [Dedekind 1888] or [Dedekind 1932, p. 347].

17. Explanation. A thing g is called a common element of the systems A, B, C,... if it is contained in any of these systems (hence in A and in B and in C, ...) [...], and by the intersection of these systems A, B, C,... we understand the completely determined system  $\mathfrak{S}(A, B, C, ...)$  which consists of all common elements g of A, B, C, ... [...]. (Translation by the author.)

#### 5 The Complement of a Set

#### Definition of the Complement:



French / German. Complement = Complément = Komplement.

**5.2 Example.** Let  $A := \{a, b\}$  and  $B := \{b, c\}$ , and suppose that the elements a, b and c are pairwise distinct. Then we have  $A \setminus B = \{a\}$ .

#### **Elementary Properties of the Complement:**

**5.3 Proposition.** Let A and B be two sets. Then we have  $A \setminus B = A \setminus (A \cap B)$ .

**Proof.** Let x be an element of the set A. Then we have

$$x \in B \iff x \in A \cap B$$
 implying that  
 $x \notin B \iff x \notin A \cap B$ .

(Note that we have used the implication: if  $(X) \Leftrightarrow (Y)$  then  $\neg(X) \Leftrightarrow \neg(Y)$ .) Hence, we get

$$\begin{aligned} \mathbf{x} \in \mathbf{A} \setminus \mathbf{B} &\Leftrightarrow & \mathbf{x} \in \mathbf{A} \text{ and } \mathbf{x} \notin \mathbf{B} \Leftrightarrow \mathbf{x} \in \mathbf{A} \text{ and } \mathbf{x} \notin \mathbf{A} \cap \mathbf{B} \\ &\Leftrightarrow & \mathbf{x} \in \mathbf{A} \setminus (\mathbf{A} \cap \mathbf{B}). \end{aligned}$$

In view of Proposition 5.3 we can alway assume that the set B is a subset of the set A if we consider the difference  $A \setminus B$ .

**5.4 Proposition.** Let A be a set. For each subset B of the set A, let  $B^c := A \setminus B$  denote the complement of the set B in the set A.

(a) We have  $\emptyset^c = A$  and  $A^c = \emptyset$ .

(b) We have  $(B^c)^c = B$  for all subsets B of the set A.

- (c) We have  $B \cup B^c = A$  and  $B \cap B^c = \emptyset$ , that is,  $A = B \cup B^c$  for all subsets B of the set A.
- (d) We have

$$X \subseteq Y$$
 if and only if  $Y^c \subseteq X^c$  for all  $X, Y \subseteq A$ .

**Proof.** (a) We have  $\emptyset^c = \{x \in A \mid x \notin \emptyset\} = A$  and  $A^c = \{x \in A \mid x \notin A\} = \emptyset$ . (b) Since the set B is a subset of the set A, we have

 $(B^{c})^{c} = \{x \in A \mid x \notin B^{c}\} = \{x \in A \mid x \in B\} = B.$ 

(c) We have

$$B \cup B^{c} = \{x \in A \mid x \in B \text{ or } x \in B^{c}\}$$
$$= \{x \in A \mid x \in B \text{ or } x \notin B\} = A \text{ and}$$
$$B \cap B^{c} = \{x \in A \mid x \in B \text{ and } x \in B^{c}\}$$
$$= \{x \in A \mid x \in B \text{ and } x \notin B\} = \emptyset.$$

(d) Step 1.  $\Rightarrow$ : Suppose that the set X is a subset of the set Y. In order to show that the set  $Y^c$  is a subset of the set  $X^c$ , let x be an element of the set  $Y^c$ . It follows that the element x is contained in the set A, but not in the set Y.

Assume that the element x is not contained in the set X<sup>c</sup>. Since  $X^c = \{x \in A \mid x \notin X\}$ , it follows that the element x is contained in the set X. Since the set X is a subset of the set Y, the element x is contained in the set Y, a contradiction.

Step 2.  $\Leftarrow$ : Suppose that the set  $Y^c$  is a subset of the set  $X^c$ . It follows from Step 1 that  $(X^c)^c \subseteq (Y^c)^c$ . It follows from (b) that  $X \subseteq Y$ .  $\Box$ 

#### The Complement of Unions and Intersections:

**5.5 Theorem.** (De Morgan's Laws) Let A be a set. For each subset B of the set A, let  $B^c := A \setminus B$  denote the complement of the set B in the set A.

(a) We have  $(X \cup Y)^c = X^c \cap Y^c$  for all subsets X and Y of the set A.

(b) We have  $(X \cap Y)^c = X^c \cup Y^c$  for all subsets X and Y of the set A.

**Proof.** Let X and Y be two subsets of the set A.

(a) Step 1. The set  $(X \cup Y)^c$  is a subset of the set  $X^c \cap Y^c$ :

Since the sets X and Y are subsets of the set  $X \cup Y$ , it follows from Proposition 5.4 (d) that the set  $(X \cup Y)^c$  is a subset of the set  $X^c \cap Y^c$ .

Step 2. The set  $X^c \cap Y^c$  is a subset of the set  $(X \cup Y)^c$ :

Let x be an element of the set  $X^c \cap Y^c$ . Since the element x is not contained in the sets X and Y, we have

$$\mathbf{x} \in \mathbf{A} \setminus (\mathbf{X} \cup \mathbf{Y}) = (\mathbf{X} \cup \mathbf{Y})^{\mathbf{c}}$$

(b) It follows from (a) and Proposition 5.4 that

$$(X^{c} \cup Y^{c})^{c} = (X^{c})^{c} \cap (Y^{c})^{c} = X \cap Y$$

implying that  $X^c \cup Y^c = (X \cap Y)^c$ .

#### **Historical Note:**

The existence of the complement of a set is considered in the introduction of the axioms by Zermelo:

7. Ist  $M_1 \in M$ , so besitzt M immer eine Untermenge  $M - M_1$ , die Komplementärmenge von  $M_1$ , welche alle diejenigen Elemente von M umfaßt, die nicht Elemente von  $M_1$  sind. [...]

See [Zermelo 1908, p. 264].

7. If  $M_1 \in M$ , then M always possesses another subset  $M - M_1$ , the complement of  $M_1$ , which contains all those elements of M that are not elements of  $M_1$ . [...]

See [Zermelo 1967, p. 202].

Note that the symbol  $\in$  stands for  $\subseteq$ .

De Morgan's laws (Theorem 5.5) have been published by de Morgan in [De Morgan 1864]. They have been published as a result about logic and not about set theory: Union and intersection have to be replaced by OR and AND, and the complement has to be replaced by the negation. Hence, the laws of de Morgan read as follows:

$$\neg$$
(x  $\lor$  y) =  $\neg$ x  $\land$   $\neg$ y and  $\neg$ (x  $\land$  y) =  $\neg$ x  $\lor$   $\neg$ y.

The notation of de Morgan is quite different from our current notation. In [De Morgan 1864], the expression  $x \wedge y$  is called the *compound* of the expressions x and y and is denoted by xy, whereas the expression  $x \vee y$  is called the *aggregate* of the expressions x and y and is denoted by (x, y). Given an expression x the negation  $\neg x$  is denoted by the letter X, whereas the negation  $\neg X$  is denoted by x. The laws of de Morgan read as follows:

The contrary of an aggregate is the compound of the contraries of the aggregants: the contrary of a compound is the aggregate of the contraries of the components. Thus (A, B) and AB have ab and (a, b) for contraries.

See [De Morgan 1864, p. 208].

For more information see for example the article of Michael Schroeder about the history of the notation of Boole's algebra [Schroeder 1997, in particular p. 50].

The signs  $\cup$ ,  $\cap$  and - (for  $A \setminus B = A - B$ ) have been introduced by Giuseppe Peano:

2. Colla scrittura  $A \cap B \cap C \dots$ , ovvero  $ABC \dots$ , intenderemo la massima classe contenuta nelle classi  $A, B, C, \dots$  ossia la classe formata da tutti gli enti che sono ad un tempo  $A \in B \in C$ , ecc. Il segno  $\cap$  si leggerà e; [...]

3. Colla scrittura  $A \cup B \cup C \dots$ , intenderemo la minima classe contiene le classi  $A, B, C, \dots$  ossia la classe formata dagli enti che sono o A o B o C, ecc. Il segno  $\cup$  si leggerà o; [...]

4. Colla scrittura –A, ovvero  $\overline{A}$ , intenderemo la classe formata da tutti gli enti non appartenenti alla classe A. Il segno – si leggerà non; [...]

See [Peano 1888, pp. 1-2].

2. Writing  $A \cap B \cap C \dots$ , or  $ABC \dots$ , we shall understand the maximal class contained in the classes  $A, B, C, \dots$ , that is, the class formed by all the entities that are at the same time A and B and C, etc. The sign  $\cap$  is read and; [...]

3. Writing  $A \cup B \cup C \dots$ , we shall understand the minimal class containing the classes  $A, B, C, \dots$ , that is, the class formed by the entities which are A or B or C, etc. The sign  $\cup$  is read or; [...]

4. Writing -A or  $\overline{A}$  we shall understand the class formed by all the entities which are not contained in the class A. The sign - reads non; [...] (Translation by the author.)

#### 6 The Power Set of a Set

The Axiom of Powers:

6.1 Axiom. (ZFC-6: The Axiom of Powers) Let X be a set. Then the set of all subsets of the set X exists.

French / German. The Axiom of Powers = Axiome de l'ensemble des parties = Potenz-mengenaxiom.

Definition of the Power Set:

**6.2 Definition.** Let X be a set. Then the set of all subsets of the set X is called the power set of the set X. It is denoted by  $\mathcal{P}(X)$ .

French / German. Power set = Ensemble des parties d'un ensemble = Potenzmenge.

**6.3 Examples.** (a) Let  $X := \emptyset$  be the empty set. Then we have  $\mathcal{P}(X) = \{\emptyset\}$ . Note that the set  $\mathcal{P}(X) = \{\emptyset\}$  is not the empty set, but a set containing exactly one element, namely the empty set.

(b) Let  $X := \{x\}$  be a set containing exactly one element x. Then we have  $\mathcal{P}(X) = \{\emptyset, \{x\}\}$ . Note that the set  $\mathcal{P}(X) = \{\emptyset, \{x\}\}$  does not contain the element x.

(c) Let  $X := \{x, y\}$  be a set containing exactly two elements x and y with  $x \neq y$ . Then we have  $\mathcal{P}(X) = \{\emptyset, \{x\}, \{y\}, \{x, y\}\}$ .

#### **Elementary Properties of the Power Set:**

**6.4 Proposition.** Let X be a set, and let  $P := \mathcal{P}(X)$  be the power set of the set X. Then the empty set  $\emptyset$  and the set X are contained in the set P.

**Proof.** The assertion follows from the fact that the empty set  $\emptyset$  and the set X are subsets of the set X (Proposition 1.6).

**6.5** Theorem. Let A be a set, and let  $\varphi$  be a sentence. Then the following set exists:

 $\{X \subseteq A \mid \phi(X)\}.$ 

**Proof.** By the axiom of powers (Axiom 6.1), the power set  $\mathcal{P} := \mathcal{P}(A)$  exists. Hence, it follows from the axiom of specification (Axiom 1.5) that the set

$$\{X \subseteq A \mid \varphi(X)\} = \{X \in \mathcal{P} \mid \varphi(X)\}$$

exists.

#### Historical Note:

The axiom of powers has been introduced by Zermelo:

Axiom IV. (Axiom der Potenzmenge) Jeder Menge T entspricht eine zweite Menge  $\mathfrak{UT}$  (die Potenzmenge von T), welche alle Untermengen von T und nur solche als Elemente enthält.

See [Zermelo 1908, p. 265].

Axiom IV. (Axiom of the power set) To every set T there corresponds another set UT, the power set of T, that contains as elements precisely all subsets of T. See [Zermelo 1967, p. 203].

#### 7 The Axiom of Foundation

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7.1 Remark. So far, we have seen some constellations which do not fit very well to our intuitive understanding of sets and elements. Examples of such constellations are

(i) a set A with  $A \in A$  (a set which is an element of itself),

(ii) two sets A and B such that  $A \in B$  and  $B \in A$  at the same time.

The axiom of foundation averts these contra-intuitive constellations.

7.2 Definition. Let A be a set. An element a of the set A is called element minimal with respect to the set A or, equivalently,  $\in$ -minimal with respect to the set A if we have  $a \cap A = \emptyset$ .

**French** / **German.** Element minimal = Élément minimal pour l'appartenance = Elementminimal

Condition (ii) of the following proposition explains why these elements are called element minimal:

**7.3 Proposition.** Let A be a set, and let a be an element of the set A. Then the following conditions are equivalent:

(i) The element a is element minimal with respect to the set A.

(ii) There is no element x of the set A such that  $x \in a$ .

(iii) We have  $x \notin a$  for all elements x of the set A.

(iv) We have  $a \cap A = \emptyset$ .

**Proof.** (i)  $\Leftrightarrow$  (iv) follows from Definition 7.2.

(ii)  $\Leftrightarrow$  (iii) is obvious.

(ii)  $\Rightarrow$  (iv): Assume that  $a \cap A \neq \emptyset$ . Then there exists an element x of the set  $a \cap A$ . In other words, the element x is an element of the set A such that  $x \in a$ , in contradiction to Condition (ii).

 $(iv) \Rightarrow (ii)$ : Assume that there exists an element x of the set A such that  $x \in a$ . It follows that

 $x \in a \cap A$  implying that  $a \cap A \neq \emptyset$ ,

in contradiction to Condition (iv).

Altogether, we have the following chain of implications: (i)  $\Rightarrow$  (iv)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii)  $\Rightarrow$  (ii)  $\Rightarrow$  (ii)  $\Rightarrow$  (iv)  $\Rightarrow$  (i).

7.4 Axiom. (ZFC-7: Axiom of Foundation) Every non-empty set A contains an element a which is element minimal with respect to the set A, that is, an element a such that

 $a \cap A = \emptyset$ .

Note that the axiom of foundation is also called the axiom of regularity.

French / German. Axiom of Foundation = Axiome de fondation = Fundierungaxiom.

We can draw the following conclusions from the axiom of foundation (Axiom 7.4) and the axiom of pairing:

**7.5 Theorem.** (a) Let A be a set. Then we have  $A \notin A$ .

(b) Let A and B be two sets. If the set A is an element of the set B, then the set B is no element of the set A.

(c) Let A and B be two sets. If the set A is a subset of the set B, then the set B is no element of the set A.

**Proof.** (a) By the axiom of pairing (Axiom 2.1), the set  $Z := \{A\}$  exists. By the axiom of foundation (Axiom 7.4) there exists an element z of the set Z such that  $z \cap Z = \emptyset$ . Since the element A is the only element of the set  $Z = \{A\}$ , we have A = z implying that  $A \cap Z = \emptyset$ .

Assuming that the set A is an element of the set A, we would have

$$A \in A$$
 and  $A \in Z = \{A\}$ 

implying that

$$A \in A \cap Z = \emptyset$$
,

a contradiction.

(b) Let  $Z := \{A, B\}$ . Assume that the set A is an element of the set B and that the set B is an element of the set A. Then we have

$$B \in A \cap Z$$
 and  $A \in B \cap Z$ 

implying that  $z \cap Z \neq \emptyset$  for all elements z of the set  $Z = \{A, B\}$ , in contradiction to the axiom of foundation (Axiom 7.4).

(c) Assume that the set A is a subset of the set B and that the set B is an element of the set A. Since the set B is an element of the set A and since the set A is a subset of the set B, it follows that the set B is an element of the set B, in contradiction to (a).  $\Box$ 

#### **Historical Note:**

Ernst Zermelo has updated his list of axioms of 1908 [Zermelo 1908] in 1930 and has included the axiom of foundation:

F) Axiom der Fundierung: Jede (rückschreitende) Kette von Elementen, in welcher jedes Glied Element des vorangehenden ist, bricht mit endlichem Index ab bei einem Urelement. Oder, was gleichbedeutend ist: Jeder Teilbereich T enthält wenigstens ein Element  $t_0$ , das kein Element t in T hat.

See [Zermelo 1930, p. 31].

F) Axiom of Foundation: Each (regressive) chain of elements, in which every link is an element of the previous element, terminates with finite index at an ur-element. Or, equivalently: Each set T contains at least one element  $t_0$  that has no element t in T.

(Translation by the author.)

Zermelo points out that his axiom of foundation relies on earlier work of Abraham Fraenkel and John von Neumann (1903 - 1957):

Axiom der Beschränktheit. Außer den durch die Axiome II bis VII (bzw. VIII) geforderten Mengen existieren keine weiteren Mengen. See [Fraenkel 1928, p. 355].

Axiom of boundedness. There do not exist any sets except the sets required by Axioms II to VII (resp. VIII).

(Translation by the author.)

There are the following correspondences: Axiom II - ZFC-4 (axiom of pairing); Axiom III - ZFC-5 (axiom of unions); Axiom IV - ZFC-6 (axiom of powers); Axiom V - ZFC-3 (axiom of specification); Axiom VI - ZFC-9 (axiom of choice); Axiom VII - ZFC-10 (axiom of infinity).

The axiom of boundedness is already mentioned in [Fraenkel 1922, p. 234], but it is not really explained there.

4. Es gibt kein II. Ding a mit der folgenden Eigenschaft: Es ist für jede endliche Ordnungszahl (d.h. ganze Zahl) n:  $[a, n + 1] \in [a, n]$ .

See [von Neumann 1925, p. 239].

4. There is no II. thing a with the following property: For each finite ordinal number (that is, natural number)  $n: [a, n+1] \in [a, n]$ .

(Translation by the author.)

A II. thing a stands for a function a. [a, n] stands for the expression a(n).

#### 8 Notes and References

I found many interesting historical facts in the book *Labyrinths of Thought* by José Ferreirós [Ferreirós 2007] and in the biography of Ernst Zermelo by Heinz-Dieter Ebbinghaus [Ebbinghaus 2010]. A very good source is also the book *Einführung in die Mengenlehre* by Oliver Deiser [Deiser 2020, in German] which contains many historical details.

#### 9 Literature

A list of text books about set theory can be found at Literature about Set Theory.

- De Morgan, Augustus (1864). "On the Syllogism No. III, and on Logic in General". In: Transactions of the Cambridge Philosophical Society 10, pp. 173-230 (cit. on pp. 16, 17).
- Dedekind, Richard (1888). Was sind und was sollen die Zahlen? Braunschweig: Vieweg (cit. on pp. 10, 14).
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- Deiser, Oliver (2020). Einführung in die Mengenlehre. Die Mengenlehre Cantors und ihre Axiomatisierung durch Ernst Zermelo. URL: www.aleph1.info (visited on 03/14/2020).
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- Ebbinghaus, Heinz-Dieter (2010). Ernst Zermelo. An Approach to His Life and Work. Berlin, Heidelberg, and New York: Springer Verlag (cit. on p. 21).
- Ferreirós, José (2007). Labyrinths of Thought. A History of Set Theory and its Role in Modern Mathematics. Basel: Birkhäuser. The first edition appeared in 1999 in the series Science Networks - Historical Studies, vol. 23. (Cit. on p. 21).

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- Zermelo, Ernst (1908). "Untersuchungen über die Grundlagen der Mengenlehre I". In: Mathematische Annalen 65, pp. 261–281 (cit. on pp. 7, 8, 10, 14, 16, 18, 20, 22).
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- (1967). "Investigations in the Foundations of Set Theory I". In: From Frege to Gödel. A Source Book in Mathematical Logic, 1879 - 1931. Ed. by van Heijenoort, pp. 199-215. This article is a translation of [Zermelo 1908] into English. (Cit. on pp. 8, 10, 14, 16, 18).

#### 10 Publications of the Mathematical Garden

For a complete list of the publications of the mathematical garden please have a look at www.math-garden.com.

- Garden, M. (2020a). The Mathematical Universe. Version 1.0.2. URL: https://www.mathgarden.com/unit/nst-universe (cit. on pp. 3, 4, 7, 8).
- (2020b). Families and the Axiom of Choice. Version 1.0.0. URL: https://www.math-garden.com/unit/nst-families (cit. on pp. 3, 7).
- (2020c). Successor Sets and the Axioms of Peano. Version 1.0.0. URL: https://www. math-garden.com/unit/nst-successor-sets (cit. on p. 3).
- (2020d). The Natural Numbers and the Principle of Induction. Version 1.0.0. URL: https://www.math-garden.com/unit/nst-natural-numbers (cit. on pp. 5, 7).

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If you are willing to share comments and ideas to improve the present unit or hints about further references, we kindly ask you to send a mail to info@math-garden.com or to use the contact form on www.math-garden.com. Contributions are highly appreciated.

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